# **Claude Elwood Shannon**

# A Register of His Papers in the Library of Congress

Prepared by Joseph K. Brooks



## **Manuscript Division, Library of Congress**

Washington, D.C.

### 2003

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## **Collection Summary**

Title: Papers of Claude Elwood Shannon

**Span Dates:** 1932-1995 **Bulk Dates:** (bulk 1938-1989)

**ID No.:** MSS84831

Creator: Shannon, Claude Elwood, 1916-2001

Extent: 7,000 items; 20 containers plus 1 oversize, 1 computer file, and 1 classified; 8 linear feet

Language: Collection material in English

Repository: Manuscript Division, Library of Congress, Washington, D.C.

**Abstract:** Mathematician, information theorist, and computer scientist. Correspondence, scientific papers, theorems,

topical files, articles, notes, speeches, and miscellaneous material relating to Shannon's professional life.

### **Selected Search Terms**

The following terms have been used to index the description of this collection in the Library's online catalog. They are grouped by name of person or organization, by subject or location, and by occupation and listed alphabetically therein.

#### Names:

Shannon, Claude Elwood, 1916-

Andrew, Merle M.--Correspondence

Ashby, William Ross--Correspondence

Berkeley, Edmund Callis--Correspondence

Biunno, Vincent P.--Correspondence

Bode, Hendrik W. (Hendrik Wade), 1905- -- Correspondence

Brown, Gordon Stanley, 1907-1996--Correspondence

Campbell, John William, 1889- -- Correspondence

Casby, James U.--Correspondence

Dowling, Kevin--Correspondence

Elias, P. (Peter)--Correspondence

Flanagan, Dennis, 1919- -- Correspondence

Flood, Merrill Meeks, 1908- -- Correspondence

Gabor, Dennis, 1900- -- Correspondence

Hubbard, L. Ron (La Fayette Ron), 1911- -- Correspondence

Kleene, Stephen Cole, 1909- -- Correspondence

Lasker, Edward, 1885- -- Correspondence

Levy, David N. L.--Correspondence

Liversidge, Anthony--Correspondence

McCarthy, John, 1927- -- Correspondence

Moore, Edward F.--Correspondence

Pierce, John Robinson, 1910- -- Correspondence

Piore, Emanuel Ruben, 1908- -- Correspondence

Price, G. Baley (Griffith Baley), 1905- -- Correspondence

Sagan, Carl, 1934- -- Correspondence

Shneidman, Edwin S .-- Correspondence

Singmaster, David--Correspondence

Stratton, Julius Adams, 1901- -- Correspondence

Uttley, A. M.--Correspondence

Von Neumann, John, 1903-1957--Correspondence

Weaver, Warren, 1894- -- Correspondence

Bell Telephone Laboratories, inc.

#### **Subjects:**

Computer chess

Computer games

Computers

Games--Data processing Information theory Telecommunication

### **Occupations:**

Computer scientists Information theorists Mathematicians

### **Administrative Information**

#### **Provenance:**

The papers of Claude Elwood Shannon, mathematician, information theorist, and computer scientist, were given to the Library of Congress by Mary E. Shannon in 2001.

### **Copyright Status:**

The status of copyright in the unpublished writings of Claude Elwood Shannon is governed by the Copyright Law of the United States (Title 17, U.S.C.).

### **Security Classified Documents:**

Government regulations control the use of security classified items in this collection. Manuscript Division staff can furnish information concerning access to and use of any classified items.

#### **Preferred Citation:**

Researchers wishing to cite this collection should include the following information: Container number, Claude Elwood Shannon Papers, Manuscript Division, Library of Congress, Washington, D.C.

## **Biographical Note**

Date	Event
1916, Apr. 30	Born, Petoskey, Mich.
1936	B.S., University of Michigan, Ann Arbor, Mich.
1940	M.Sc., electrical engineering, and Ph.D., mathematics, Massachusetts Institute of Technology, Cambridge, Mass.
1940-1941	Fellow, Institute for Advanced Study, Princeton, N.J.
1948	Published "A Mathematical Theory of Communication," Bell System Technical Journal
1949	Married Mary E. Moore
1941-1958	Research mathematician, Bell Telephone Laboratories, Murray Hill, N.J.
1958-1978	Donner Professor of Science, Massachusetts Institute of Technology, Cambridge, Mass.
1985	Awarded Kyoto Prize
2001, Feb. 24	Died, Medford, Mass.

## **Scope and Content Note**

The papers of Claude Elwood Shannon (1916-2001), mathematician, computer scientist, and information theorist, span the years 1932-1995, with the bulk of the material dating from 1938 to 1989. Shannon established the field of information theory with several seminal scientific papers, including "A Mathematical Theory of Communication," published in 1948. He was first to realize that the bit, expressed mathematically with only two symbols, ones and zeros, could serve as the basic unit of any kind of electronically generated information in communications and computer systems. His papers are organized in Correspondence, Speeches and Writings, Miscellany, Oversize, Computer File, and Classified series. A few documents are in French, German, Russian, or Japanese.

The <u>Correspondence</u> series, grouped in general and postcard files, documents the promulgation of ideas based on information theory, not only in mathematics, computer science, electrical engineering and other scientific and technical fields, but in sociology, law, economics, psychology, linguistics, and literature as well. The correspondence also relates to areas in which Shannon's professional and avocational interests converged, such as programming computers and automata to play chess and other games, run mazes, juggle, and solve puzzles. There is also additional material on these topics in the <u>Miscellany</u> series. Scientific papers attached to general correspondence have been filed in the <u>Miscellany</u> series. The postcards are related mostly to the distribution of Shannon's scientific papers during 1948-1951.

Correspondents include Merle M. Andrew, William Ross Ashby, Edmund Callis Berkeley, Vincent Biunno, Hendrik W. Bode, Gordon Stanley Brown, John William Campbell, James U. Casby, Kevin Dowling, Peter Elias, Dennis Flanagan, Merrill M. Flood, Dennis Gabor, L. Ron Hubbard, Stephen Cole Kleene, Edward Lasker, David N. L. Levy, Anthony F. Liversidge, John McCarthy, Edward F. Moore, John R. Pierce, Emanuel R. Piore, G. Baley Price, Carl Sagan, Edwin S. Shneidman, David Singmaster, Julius Adams Stratton, A. M. Uttley, John Von Neumann, and Warren Weaver. Articles and scientific papers among the Speeches and Writings series, including theorems, are organized in alphabetical and chronological files. Dated articles and scientific papers are arranged chronologically, while the undated material is organized alphabetically by title. Shannon's articles and papers published under the auspices of his employer, Bell Telephone Laboratories, Murray Hill, New Jersey, and meant for general dissemination are part of the Speeches and Writings, but technical memoranda, Shannon's work produced at the company, are part of the Bell Telephone Laboratories file in the Miscellany series.

## **Organization of the Papers**

The collection is arranged in six series:

- Correspondence, 1938-1995, n.d.
- Speeches and Writings, 1938-1987, n.d.
- Miscellany, 1932-1993, n.d.
- Oversize, 1949-1953, n.d.
- Computer File, 1993
- Classified, 1940-1943, n.d.

## **Description of Series**

Container Series

BOX 1-5 <u>Correspondence</u>, 1938-1995, n.d.

General correspondence with attached material and postcards between Shannon and colleagues, professional associates, scholars, friends, and the public relating to information theory, mathematics, artificial intelligence, computer technology, and other professional interests.

Arranged in files of general correspondence and postcards and thereunder chronologically.

BOX 6-11 Speeches and Writings, 1938-1987, n.d.

Scientific papers, articles, theorems, speeches, lecture notes, interviews, poems, songs, bibliographies, book reviews, and a television program script.

Arranged alphabetically by type of material and alphabetically or chronologically thereunder.

BOX 11-20 Miscellany, 1932-1993, n.d.

Subject files, notes, drawings, diagrams, graphs, technical memoranda, patents, scientific papers, printed matter, and miscellaneous material.

Arranged alphabetically by subject or type of material and chronologically thereunder.

BOX OV 1 Oversize, 1949-1953, n.d.

Diagrams and drawings.

Arranged and described according to the series, containers, and folders from which the items were removed.

BOX CF 1 Computer File, 1993

Computer game.

Arranged and described according to the series, container, and folder from which the item was removed.

BOX CL1 Classified, 1940-1943, n.d.

Documents containing security information removed from the collection.

Arranged and described according to the series and folders from which the items were removed.

# **Container List**

Container	Contents
вох 1-5	Correspondence, 1938-1995, n.d.  General correspondence with attached material and postcards between Shannon and colleagues, professional associates, scholars, friends, and the public relating to information theory, mathematics, artificial intelligence, computer technology, and other professional interests.  Arranged in files of general correspondence and postcards and thereunder chronologically.
BOX 1	General
	1938-1955 <u>See also Container 19</u> , Scientific papers by others, Miscellany (12 folders)
вох 2	1956-1958 <u>See also Container 19</u> , Scientific papers by others, Miscellany (10 folders)
вох 3	1959-1979 <u>See also Container 19</u> , Scientific papers by others, Miscellany (9 folders)
вох 4	1980-1985 (10 folders)
BOX 5	1986-1995, n.d. (6 folders)
	Postcards regarding distribution of scientific papers, 1948-1951, n.d. (2 folders)
вох 6-11	<ul> <li>Speeches and Writings, 1938-1987, n.d.</li> <li>Scientific papers, articles, theorems, speeches, lecture notes, interviews, poems, songs, bibliographies, book reviews, and a television program script.</li> <li>Arranged alphabetically by type of material and alphabetically or chronologically thereunder.</li> </ul>
вох 6	Articles and scientific papers
	Alphabetical file, n.d.
	A-L (9 folders)
вох 7	M-T (10 folders)
вох 8	U-Z and untitled (3 folders)

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Chronological file
                                1938-1946
                                  (7 folders)
BOX 9
                                1948-1955
                                  (8 folders)
                                1956-1978
BOX 10
                                  (5 folders)
                               Bibliographies and table of contents, 1956, n.d.
                           Book reviews, 1956, 1962, n.d.
                           Poems and songs, ca. 1981-ca. 1982, n.d.
                           Speeches, lectures, and interviews
                             1947-1984, n.d.
                               (3 folders)
BOX 11
                             1985-1987, n.d.
                               (2 folders)
                           Television program, script, n.d.
BOX 11-20
                        Miscellany, 1932-1993, n.d.
                           Subject files, notes, drawings, diagrams, graphs, technical memoranda, patents, scientific
                              papers, printed matter, and miscellaneous material.
                           Arranged alphabetically by subject or type of material and chronologically thereunder.
BOX 11
                           Antique Store of the 21st Century, Brookline, Mass., 1983
                           American Academy of Achievement, Dallas, Tex., 1966-1967
                           Artificial intelligence, 1955, 1978, 1984, n.d.
                           Bell Telephone Laboratories, Murray Hill, N.J.
                             3-Relay Kit, n.d.
                             Diagrams and photographs
                               International Morse decoder, number display circuit, perpetual calendar, relay circuit
                               analyzer, 1953, n.d. See Oversize
                               Miscellany, 1940, 1949-1953, n.d. See Classified
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Miscellany, 1943-1956 See also Classified
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Patents, 1946-1953, n.d.

BOX 12 Technical memoranda, 1940-1955

(5 folders)

Berkeley, Edmund C.

Miscellany, 1950-1954, n.d.

Probability and statistical kit, 1960, n.d.

Bibliographies regarding communication theory and machine self- reproduction, n.d.

Biographical material, ca. 1951, 1961-1989, n.d.

Brain, mind, and nervous system, n.d.

Notes

Typescripts

Cember, Richard P., Word for Word, computer game, 1993 See also Computer File

Codrington Club, Oxford, England, 1980, n.d.

Computer memory, bits storage capacity, 1949

Ephemera, 1948, n.d.

Game playing and puzzle solving machines

Chess

Articles and scientific papers, 1950-1955, 1989, n.d.

Miscellany, n.d.

Condon, Edward U., Nim playing machine, 1940

Maze running

Miscellany, 1956, 1978-1979, n.d.

Theseus, n.d.

Miscellany, 1953-1955, n.d.

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BOX 14
                            Rubik cube solving machines
                            Miscellany, 1981-1982, n.d.
                               Singmaster, David, 1981-1982
                          Gaussian channels, n.d.
                          Generalized central limit theorem, n.d.
                          Harvard University, Cambridge, Mass., Whole Sky Search for Life project, 1982-1984, n.d.
                          Harvey Prize, Israel Institute of Technology, Haifa, Israel, 1972, n.d.
                          Information and communications quotations, n.d.
                          Institute for Advanced Studies, Princeton, N.J., 1940, 1977
                          Institute of Radio Engineers, Professional Group on Information Theory, 1955
                          Juggling machines
                            Articles
                               By Shannon, n.d.
                               By others, 1979-1982, n.d.
                            Miscellany, 1980-1984, n.d.
BOX 15
                            Notes, n.d.
                               (4 folders)
                          Kolota, Gina, "A Math Image Problem," 1986
                          Lang, Serge, 1988
                          Machine handwriting recognition, n.d.
                          Massachusetts Institute of Technology, Cambridge, Mass.
                            Mechanical hands, 1958-1960, n.d.
                            Miscellany, 1950-1965, 1972-1973, 1979, 1985, n.d.
                          Musical instruments, pitch meters, and metronomes, n.d.
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Notes

**BOX** 16

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Diagrams, drawings, and tables, 1949-1951, n.d.
                               (5 folders)
                               See also Oversize
                            Miscellany
                               1957, n.d.
                                 (4 folders)
BOX 17
                                 (7 folders)
BOX 18
                                 (7 folders)
BOX 19
                            Notebooks and forms, n.d.
                          Photographs of Shannon, n.d.
                          Research proposals, 1957, n.d.
                          Scientific papers by others
                            Automata studies project, 1955, n.d.
                            Miscellany, 1932, 1939-1942, 1952-1960, 1981, n.d. See also Containers 1-3, General
                               (5 folders)
                          Siforov, Vladimir L., 1966
BOX 20
                          Statistical English, codes, and translating machines, 1948-1949, n.d.
                          Statue of Albert Einstein, Washington, D.C., 1978-1979, n.d.
                          Symposia and meetings
                            Miscellany, 1950, 1958, 1965, 1972, 1985, n.d.
                            "Skeleton Key to the Information Seminar-Notes," n.d.
                               (3 folders)
                          THROBAC (Thrifty Roman Numeral Backward Looking Computer), 1953
                          Unicycles and related problems, n.d.
                          Unsolved mathematical problems, n.d.
BOX OV 1
                        Oversize, 1949-1953, n.d.
                          Diagrams and drawings.
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Arranged and described according to the series, containers, and folders from which the items

were removed.

Container	

**Contents** 

BOX OV 1 Bell Telephone Laboratories, Murray Hill, N.J.

Diagrams and photographs

International Morse decoder, number display circuit, perpetual calendar, and relay circuit analyzer, 1953, n.d. (Container 11)

Notes

Diagrams, drawings, and tables, 1949-1951, n.d. (Container 16)

### BOX CF 1 Computer File, 1993

Computer game.

Arranged and described according to the series, container, and folder from which the item was removed.

**BOX** CF 1 Miscellany, 1932-1993, n.d.

Cember, Richard P., Word for Word, computer game, 1993 (Container 13)

#### BOX CL1 Classified, 1940-1943, n.d.

Documents containing security information removed from the collection.

Arranged and described according to the series and folders from which the items were removed.

Bell Telephone Laboratories, Murray Hill, N.J.

Diagrams and photographs

Miscellany, n.d. (Container 11)

Miscellany

Analogue for the Vernam System for Continuous Time Series, Case

20878, 1943, May 10 (Container 11)

Pulse Code Modulation, Case 20878, Dec. 1, 1943 (Container 11)

Washington, J.S.M., cable to War Cabinet Offices, London,

England, 1943, Feb. 20 (Container 11)